



Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending for May 2022 of VNS Broking Private Ltd

| S N | Receive d from | Carried forwar d from previou s month | Receive d during the month May 2022 | Total Pendin g | Resolve d* | Pending at the end of month** the | | Average Resoluti on time^ (in days) |
|--------|-------------------------------|--|--|----------------------|---------------|--|--|--|
| | | | | | | Pendin g fo r less than 3 month s | Pendi n g for more than 3 month s | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2 | SEBI (SCORE S) | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3 | Stock Exchang es | 0 | 0 | 0 | 0 | 0 | 0 | |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | |



Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|--------------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April -2021 | 0 | 0 | 0 | 0 |
| 2 | May- 2021 | 0 | 0 | 0 | 0 |
| 3 | June-2021 | 0 | 0 | 0 | 0 |
| 4 | July- 2021 | 0 | 0 | 0 | 0 |
| 5 | August 2021 | 0 | 0 | 0 | 0 |
| 6 | September 2021 | 0 | 0 | 0 | 0 |
| 7 | October 2021 | 0 | 0 | 0 | 0 |
| 8 | November 2021 | 0 | 0 | 0 | 0 |
| 9 | December 2021 | 0 | 0 | 0 | 0 |
| 10 | January 2022 | 0 | 0 | 0 | 0 |
| 11 | February 2022 | 0 | 0 | 0 | 0 |
| 12 | March 2022 | 0 | 0 | 0 | 0 |
| 13 | April 2022 | 0 | 0 | 0 | 0 |
| 14 | May 2022 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2017-18 | 0 | 0 | 0 | 0 |
| 2 | 2018-19 | 0 | 0 | 0 | 0 |
| 3 | 2019-20 | 0 | 0 | 0 | 0 |
| 4 | 2020-21 | 0 | 1 | 1 | 0 |
| 5 | 2021-22 | 0 | 0 | 0 | 0 |
| 6 | 2022-23 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 1 | 1 | 0 |



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